

**Application Number** 10/634,512 **TRANSMITTAL** Filing Date August 4, 2003 **FORM** First Named Inventor Cunningham, Shaun Joseph (to be used for all correspondence after initial filing) **Art Unit Examiner Name** Not Yet Assigned **Total Number of Pages in This** 9 Attorney Docket Number 022331-000220US Submission

ENCLOSURES (Check all that apply)								
Fee Transmittal I	Form	☐ Drawing(s)		After Allowance Communication to Group				
Fee Attached		Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences				
☐ Amendment/Rep	ly	Petition		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)				
After Final		Petition to Conv Provisional Appl		Proprietary Information				
Affidavits/de	eclaration(s)	Power of Attorne Change of Corre	ey, Revocation espondence Address	Status Letter				
Extension of Tim	e Request	Terminal Disclaimer		Other Enclosure(s) (please identify below):				
		Request for Refund		Return Postcard;				
Express Abandon	nment Request	CD, Number of CD(s)		2. A copy of the PCT Search Report (3 pages)				
Supplemental Information Disclosure Statement (2 pgs) w/attached PTO/SB/08A (2 pgs), (citing references 1-26)								
Certified Copy of Priority Document(s)		Remarks	The Commissioner is a Account 20-1430.	authorized to charge any additional fees to Deposit				
Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53		* Note: Reference	ce not included in th	e total number of pages above				
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT								
Firm or Individual	Townsend and T Richard T. Ogaw	Fownsend and Crew LLP  Reg. No. 37,692						
Signature	Signature							
Date	7/20104	P						
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TOWNSEND and TOWNSEND and CREW LLP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Cunningham, Shaun Joseph

Application No.: 10/634,512

Filed: August 4, 2003

For: Method and Resulting Structure for Manufacturing Semiconductor Substrates Examiner: Not Yet Assigned

Art Unit: 2811

SUPPLEMENTAL INFORMATION **DISCLOSURE STATEMENT UNDER 37** 

CFR §1.97 and §1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. In accordance with 37 CFR §1.98(d), copies of the references can be found in Application No. 10/389,278, filed March 13, 2003 (Attorney Docket No. 022331-000210US, formerly 021498-000210US). It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom. Also enclosed is a copy of the Search/Examination report corresponding to the PC application.

Shaun Joseph Cunningham Application No.: 10/634,512

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As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Richard T. Ogawa Reg. No. 37,692

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RTO:rms 60234676 v1

PTO/SB/08A (08-03) TRESEstitute for form 1449A/PTO Complete if Known 10/634,512 **Application Number** INFORMATION DISCLOSURE Filing Date August 4, 2003 STATEMENT BY APPLICANT First Named Inventor Cunningham, Shaun Joseph Art Unit 2811 (use as many sheets as necessary) **Examiner Name** Not Yet Assigned 2 022331-000220US Sheet **Attorney Docket Number** 

				U.S. PATENT DO	CUMENTS+	
Examiner Initials*	Cite No.1	Document Number  Number Kind Code <sup>2</sup> (if kr	nown)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US 3,689,993		09-12-1972	Tolar	
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	AG .	US 4,856,702		08-15-1989	Kloucek	
	AH	US 5,292,685		03-08-1994	Riley et al.	
	Al	US 5,346,848		09-13-1994	Grupen-Shemansky et al.	
	AJ	US 5,389,803		02-14-1995	Mohammad	
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	AS	US 6,319,778	B1	11-20-2001	Chen	
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	AX	US 2003/0178637	A1	09-25-2003	Chen et al.	· · · -

Examiner Signature	Date Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 

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\*Kind Codes of U.S. Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. 

\*Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 

\*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 

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	FOREIGN PATENT DOCUMENTS							
E	Foreign Patent Document				Name of Patentee or	Pages, Columns, Lines,		
Examiner Initials*	Cite No. <sup>1</sup>	Country Code <sup>3</sup>	Number⁴	Kind Code <sup>8</sup> (if known)	Publication Date MM-DD-YYYY	Applicant of Cited  Document	Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	AY	JP	60-116749		06-24-1985	Sumitomo Denki Kogyo		
	AZ	РСТ	WO 2003/077311	A1	09-18-2003	Commonwealth Scientific and Industrial Organization	·	
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